

McGinn, Smith & Co. Inc. et. al.
 Civil Court docket no.
 10-CV-457(GLS/DRN)

List of Class Action and Settlement Notices Received
 William J. Brown - SEC Receiver

#	<u>Investment</u>	<u>Purchase Time Frame</u>	<u>Noticing Agent Name</u>	<u>Telephone</u>	<u>Email</u>	<u>Other Information</u>	<u>Exclusion Deadline</u>	<u>Claim Filing Deadline</u>	<u>Objection Filing Deadline</u>	<u>Claim Mailing Address</u>
1	Morgan Stanley RMBS Settlement	Prior to 12/2/2008	Gilardi & Co LLC	(415) 458-3015	notifications@gilardi.com	www.morganstanleymgssettlement.com	11/26/2014	1/15/2015		PO Box 8040 San Rafael, CA 94912
2	Anadarko Petroleum Corp.	6/12/2009-6/9/2010	Bernstein Litowitz Berger & Grossman LLP	(866) 828-2348	blbg@blbglaw.com	www.anadarkosecuritieslitigations.com		11/8/2014		1285 Avenue of the Americas New York, NY 10019
3	Mako	9/24/2013-12/17/2013	Rigrodsky & Long, PA	(302) 295-5310					9/19/2014	2 Righter Parkway, Suite 120 Wilmington, DE 19803
4	Iparty Corp	3/1/2013-5/9/2013	Brower Piven						9/10/2014	475 Park Avenue, 33rd Fl New York, NY 10016
5	Hansen Natural Corporation	11/9/2006-11/8/2007	Gilardi & Co LLC	(415) 458-3015	notifications@gilardi.com	www.morganstanleymgssettlement.com	12/1/2014	11/12/2014		PO Box 8040 San Rafael, CA 94912
6	Vestas Wind Systems A/S	2/11/2009-2/9/2012	Gilardi & Co LLC	(415) 458-3015	notifications@gilardi.com	www.morganstanleymgssettlement.com		12/29/2014		PO Box 8040 San Rafael, CA 94912
7	Central European Distribution Corp	3/1/2010-11-13-2012	Gilardi & Co LLC	(415) 458-3015	notifications@gilardi.com	www.morganstanleymgssettlement.com	10/20/2014	12/15/2014		PO Box 8040 San Rafael, CA 94912