

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NORTHERN DISTRICT OF NEW YORK**

Securities & Exchange Commission,	)	
<u>Plaintiff(s)</u>	)	
v.	)	CASE NO. <u>10-cv-00457 (GLS) (DRH)</u>
McGinn, Smith & Co. Inc., et al.,	)	
<u>Defendant(s)</u>	)	

**REQUEST FOR TRANSCRIPT**

TO: Lisa L. Tennyson, RMR, CSR, FCRR, Court Reporter / Transcriber.

Notice is hereby given that an official transcript<sup>1</sup> of a proceeding has been requested of the following portion(s) of the proceedings:

Hearing held on April 4, 2012 before Judge Homer (Ref. Docket No. 453)

The party requesting the transcript must also provide the Court Reporter / Transcriber with a FORM AO 435 Transcript Order<sup>2</sup>. This form is available on the Court's website at [www.nynd.uscourts.gov](http://www.nynd.uscourts.gov).

Please note that if the Transcript request is for an appeal, the requestor must also file the appropriate transcript appeal forms that are available on the 2<sup>nd</sup> Circuit Webpage at <http://www.ca2.uscourts.gov/>.

S/ William J. Brown  
 Attorney for Receiver  
 NDNY Bar Roll # 601330  
 Address: 3400 HSBC Center, Buffalo, NY 14203

<sup>1</sup>Upon the filing of the official transcript with the Clerk of Court in a civil or criminal proceeding by the court reporter, the parties will have five (5) business days to file a Notice of Intent to Request Redaction. For additional information on the Court's Policy concerning redaction of transcripts please visit the Court website at [www.nynd.uscourts.gov](http://www.nynd.uscourts.gov).

<sup>2</sup>For Criminal transcript requests by a CJA Panel Member please request from the Clerk's Office a CJA-24 Transcript Voucher form and have it approved by the presiding judge prior to filing this form.

**Certificate of Service**

I hereby certify that on April 17, 2012, I electronically filed the foregoing with the Clerk of Court using the CM/ECF system which will send notification to the following:  
All parties receiving CM/ECF noticing in this case,

and that I mailed by United States Postal Service the document to the following non CM/ECF participants: \_\_\_\_\_.

S/ Karen M. Ludlow

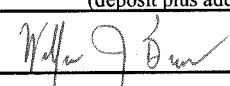
ndny notice of filing of official transcript 1/16/06

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Read Instructions on Back:						
1. NAME <b>William J. Brown, as Receiver</b>			2. PHONE NUMBER (716) 847-7089		3. DATE <b>April 17, 2012</b>	
4. MAILING ADDRESS <b>3400 HSBC Center</b>			5. CITY <b>Buffalo</b>		6. STATE <b>NY</b>	7. ZIP CODE <b>14203</b>
8. CASE NUMBER <b>10-cv-00457</b>		9. JUDGE <b>GLS/DRH</b>		DATES OF PROCEEDINGS		
			10. FROM <b>April 4, 2012</b>	11. TO <b>April 4, 2012</b>		
12. CASE NAME				LOCATION OF PROCEEDINGS		
			13. CITY <b>Albany</b>	14. STATE <b>NY</b>		
15. ORDER FOR <input type="checkbox"/> APPEAL <input type="checkbox"/> CRIMINAL <input type="checkbox"/> CRIMINAL JUSTICE ACT <input type="checkbox"/> BANKRUPTCY <input type="checkbox"/> NON-APPEAL <input checked="" type="checkbox"/> CIVIL <input type="checkbox"/> IN FORMA PAUPERIS <input type="checkbox"/> OTHER (Specify)						
16. TRANSCRIPT REQUESTED (Specify portion(s) and date(s) of proceeding(s) for which transcript is requested) <b>All - April 4, 2012</b>						
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<input type="checkbox"/> VOIR DIRE				<input type="checkbox"/> TESTIMONY (Specify Witness)		
<input type="checkbox"/> OPENING STATEMENT (Plaintiff)						
<input type="checkbox"/> OPENING STATEMENT (Defendant)						
<input type="checkbox"/> CLOSING ARGUMENT (Plaintiff)				<input type="checkbox"/> PRE-TRIAL PROCEEDING (Specy)		
<input type="checkbox"/> CLOSING ARGUMENT (Defendant)						
<input type="checkbox"/> OPINION OF COURT						
<input type="checkbox"/> JURY INSTRUCTIONS				<input type="checkbox"/> OTHER (Specify)		
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17. ORDER						
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