

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,	:	
	:	
<i>Plaintiff,</i>	:	
v.	:	10 Civ. 457 (GLS)
	:	
McGINN, SMITH & CO., INC., et al.,	:	
	:	
<i>Defendants.</i>	:	
	:	

CERTIFICATE OF SERVICE

I, Kevin P. McGrath, hereby certify that on July 8, 2014, I served the following documents:

Plaintiff’s Notice of Motion for Summary Judgment;

Memorandum of Law in Support of Plaintiff’s Motion for Summary Judgment;

Statement of Material Facts in Support of Plaintiff’s Motion for Summary Judgment;

Appendix to Plaintiff’s Statement of Material Facts and Exhibits thereto; and

Notice to Pro Se Defendant Nancy McGinn Regarding Consequences of Failing to Respond to Plaintiff’s Motion for Summary Judgment

by means of the Court’s CM/ECF system, on the following individuals:

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and sent a copy of the same above-referenced documents by email (except for electronic copies of exhibits sent on disc via overnight mail) to:

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Appearing Pro Se

Dated: July 8, 2014
New York, New York

s/Kevin P. McGrath

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